

Mark J. Smith has received these professional recognitions:

Research Magazine, 2009: Named to Research Magazine's *Financial Advisor Hall of Fame* for outstanding client service, substantial assets under management, over 15 years industry experience and recognition and awards from peers and the broader community for the honor reflected on the profession.

Barron's Magazine, 2007-2011: Named top independent financial advisor in Colorado in 2011 and 2010 and second of all Colorado financial advisors in 2011 and 2010. Named the top independent financial advisor in Colorado and third of all financial advisors in Colorado in 2009. Ranked in the top three in Colorado and rated 49 out of the best 100 independent advisors in the nation in 2008. Named the top advisor in Colorado and rated 22 out of best 100 of U.S. independent financial advisors in 2007. Ranking based on assets under management, revenues, profits, and quality of service.

Registered Rep, 2007, 2009, 2010, 2011: Named top-ranked independent financial advisor in Colorado in 2011 and ranked number 21 of top 100 independent financial advisors in the U.S.; rated number 24 of top 100 independent financial advisors in U.S. in 2010; named the top-ranked independent financial advisor in Colorado in 2009 and rated number 32 of top 100 independent financial advisors in U.S. in 2009; rated 15 out of top 100 independent financial advisors in U.S. in 2007. Ranking based on assets under management.

Registered Rep. Outstanding Advisor Award, 2007: Named one of top 10 wealth advisors in the U.S.; the only Colorado advisor selected. Ranking based on volume of assets under management, client service,

Winner's Circle, 2007: Named the top-ranked financial advisor serving clients with portfolios under \$1 million in Colorado in 2006 by the Winner's Circle, an independent advocacy organization. Ranking based on financial advisors who represent the highest levels of ethical standards, professionalism, and success in the business.

Research Magazine Winner's Circle, 2006: Named one of the top-ranked financial advisors in America; selected by the Winner's Circle, an independent advocacy organization recognizing the most outstanding financial advisors in the industry. Ranking based on financial advisors who represent the highest levels of ethical standards, professionalism, and success in the business.

Raymond James Financial Services, Inc., 2003-2006: Named top-ranked advisor in the U.S. out of over 3,228 current advisors based on gross annual revenue.

Raymond James Financial Services, Inc., Chairman's Council, 1988-2010: Ranked in the company's top five financial advisors for the past 12 years and top 25 advisor group since 1988, based on gross annual revenue.

Colorado Society of Certified Public Accountants, 2005 Received the annual statewide Everyday Heroes and Heroines Award. Recognized for professional leadership, impact, and involvement in community activities.

Worth Magazine, 1998, 1999, 2001, 2002, 2008: Named one of the top 250 financial advisors in the U.S. Criteria based on average client worth, compliance record, and investment philosophy.

M.J. Smith and Associates has received these professional recognitions:

Wealth Manager Magazine, 2007-2008: Named one of the top advisory firms serving high net worth clients. Ranked seventh out of 10 Colorado firms; ranked 321 out of 464 U.S. firms in 2007. Ranked 7 out of 12 Colorado firms; ranked 303 out of 478 U.S. firms in 2008. Rankings based on average assets under management per client.

Bloomberg's Top Wealth Manager, 2006, 2005: Selected for annual Top Wealth Manager list of 500 investment advisory firms. In 2006, ranked second out of nine Colorado firms based on size of average client relationship. In 2005, ranked 12 out of 16 Colorado firms based on the same criteria.

Financial Advisor Magazine, 2006: Named one of the country's top Registered Investment Advisory firms in the U.S. for 2005. Out of 373 firms identified as most successful, ranked 35 overall based on assets managed in the \$300-\$500 million category. Only one other Colorado firm in the same category had a higher growth rate.

Raymond James Financial Services, Inc., 1990-2007: Ranked one of the top five most successful RJFS branch offices in the U.S. for 15 consecutive years, in terms of gross revenue.